

# ON THE ABCISSAE OF SUMMABILITY OF A DIRICHLET SERIES

D. BORWEIN\*.

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1. Suppose throughout that  $(l_n)$  is an unboundedly increasing sequence of positive numbers with  $l_0 > 1$  and that

$$D = \overline{\lim}_{n \rightarrow \infty} \frac{\log n}{\log l_n} < \infty.$$

Then  $D$  is the lower bound of real numbers  $\sigma$  for which  $\Sigma l_n^{-\sigma}$  converges.

Let  $\sigma_k, \bar{\sigma}_k$  be respectively the abscissae† of summability  $(R, l, k)$ ,  $|R, l, k|$  of the Dirichlet series  $\Sigma a_n l_n^{-s}$ . It has been proved by Bosanquet‡ that, for  $k = 0, 1, \dots$ ,

$$\bar{\sigma}_k \leq \sigma_k + D; \tag{1}$$

and by Austin§ that, for  $k \geq 0, 0 < x \leq 1$ ,

$$\bar{\sigma}_{k+x} \leq \sigma_k + (1-x)D. \tag{2}$$

By investigating the continuity of  $\sigma_k$  as a function of  $k$ , Austin deduced from (2) that (1) must hold whenever  $k \geq 0$  and  $k \neq k_0$ , where  $k_0$  is the lower bound of numbers  $k$  such that  $\sigma_k < \infty$ .

The object of this paper is to prove directly that (1) is true for every  $k \geq 0$ .

2. Let

$$A(u) = \sum_{l_n \leq u} a_n, \quad B(u) = \sum_{l_n \leq u} b_n \quad (u \geq 1).$$

Write, for  $\mu > -1, w \geq 1$ ,

$$\Gamma(\mu+1) A_\mu(w) = \sum_{l_n \leq w} (w-l_n)^\mu a_n = \int_1^w (w-u)^\mu dA(u),$$

where  $w \neq l_n$  if  $\mu < 0$ , and define  $B_\mu(w)$  similarly.

Then||, for  $\mu > -1, \lambda > 0, \lambda + \mu > 0, w \geq 1$ ,

$$A_{\lambda+\mu}(w) = \frac{1}{\Gamma(\lambda)} \int_1^w (w-u)^{\lambda-1} A_\mu(u) du.$$

It will be sufficient for our purpose to prove the following

**THEOREM.** *If  $k \geq 0, k+p > -1$  and  $A_k(w) = O(w^{k+p})$ , then, for any  $\sigma > p+D, \Sigma a_n l_n^{-\sigma}$  is summable  $|R, l, k|$ .*

We require some lemmas.

\* Received 13 April, 1954; read 22 April, 1954.

† See G. H. Hardy and M. Riesz, *The General Theory of Dirichlet's Series* (Cambridge Tract No. 18, 1915), 45–46; and N. Obrechhoff, *Math. Zeit.*, 30 (1928), 357–386.

‡ L. S. Bosanquet, *Journal London Math. Soc.*, 22 (1947), 190–195.

§ M. C. Austin, *Journal London Math. Soc.*, 27 (1952), 189–198.

|| See Austin, *loc. cit.*

3. LEMMA 1. If  $0 < \delta \leq 1$ ,  $\mu \geq 0$  and  $1 \leq x \leq w$ , then

$$\frac{1}{\Gamma(\delta)} \left| \int_1^x (w-u)^{\delta-1} A_\mu(u) du \right| \leq \max_{1 \leq u \leq x} |A_{\mu+\delta}(u)|.$$

This is due to Riesz\*.

LEMMA 2. If  $k \geq 0$ ,  $k+p \geq 0$  and  $A_k(w) = O(w^{k+p})$ , then, for  $\mu = 0, 1, \dots, [k]$  and  $l_m \leq w < l_{m+1}$ ,

$$A_\mu(w) = O \left\{ w^\mu l_m^p \left( \frac{l_{m+1}}{l_{m+1}-l_m} \right)^{k-\mu} \right\}.$$

Bosanquet has proved this result† for integral values of  $k$ .

Suppose then that  $k$  is not an integer. Put  $s = [k]$ ,  $\delta = k - s$ , and let  $l_m \leq w < l_{m+1}$ . Then, by Lemma 1,

$$\begin{aligned} I(w) &= \int_{l_m}^w (w-u)^{\delta-1} A_s(u) du \\ &= \int_1^w (w-u)^{\delta-1} A_s(u) du - \int_1^{l_m} (w-u)^{\delta-1} A_s(u) du = O(w^{k+p}). \end{aligned}$$

Also

$$\begin{aligned} I(w) &= \frac{1}{s!} \int_{l_m}^w (w-u)^{\delta-1} du \sum_{n=0}^m (u-l_n)^s a_n \\ &= \frac{1}{s!} \sum_{\mu=0}^s \sum_{n=0}^m (l_m-l_n)^\mu a_n \binom{s}{\mu} \int_{l_m}^w (w-u)^{\delta-1} (u-l_m)^{s-\mu} du \\ &= \sum_{\mu=0}^s \frac{\Gamma(\delta)}{\Gamma(k+1-\mu)} (w-l_m)^{k-\mu} A_\mu(l_m). \end{aligned}$$

Let  $0 < (s+1)h \leq l_{m+1} - l_m$  and put  $w = l_m + (v+1)h$  in the above to get, for  $v = 0, 1, \dots, s$ ,

$$\sum_{\mu=0}^s c_{v,\mu} h^{k-\mu} A_\mu(l_m) = I(l_m + (v+1)h) = O \left\{ (l_m + (s+1)h)^{k+p} \right\},$$

where  $c_{v,\mu} = (v+1)^{k-\mu} \Gamma(\delta) / \Gamma(k+1-\mu)$ .

Since the determinant  $|c_{v,\mu}|$  is non-zero, we deduce that, for  $\mu = 0, 1, \dots, s$ ,

$$A_\mu(l_m) = O \left\{ (l_m + (s+1)h)^{k+p} h^{\mu-k} \right\}.$$

If  $l_{m+1} - l_m \leq l_m$  we take  $(s+1)h = l_{m+1} - l_m$  to get

$$A_\mu(l_m) = O \left\{ l_{m+1}^{k+p} (l_{m+1} - l_m)^{\mu-k} \right\} = O \left\{ l_{m+1}^{p+\mu} \left( \frac{l_{m+1}}{l_{m+1} - l_m} \right)^{k-\mu} \right\};$$

and if  $l_{m+1} - l_m > l_m$  we obtain the same result on taking  $(s+1)h = l_m$ .

\* M. Riesz, *Acta Litt. ac Sci. Univ. Hungaricae (Szeged)*, 1 (1922-3), 114-126.

† Bosanquet, *loc. cit.*, Lemma 3.

With minor adjustments Bosanquet's concluding argument can now be used to complete the proof.

LEMMA 3. If  $k \geq 0$ ,  $k+p > -1$ ,  $A_k(w) = O(w^{k+p})$  and  $b_n = a_n l_n^q$ , where  $q$  is a positive integer, then

$$B_k(w) = O(w^{k+p+q}).$$

For  $q = 1$  we have

$$B_k(w) = wA_k(w) - (k+1)A_{k+1}(w) = O(w^{k+p+1}),$$

and the result follows by induction.

LEMMA 4. If  $k > 0$ ,  $\sigma + k + q > 0$ ,  $b_n = a_n l_n^q$  and

$$\int_1^\infty w^{-\sigma-k-q} |B_{k-1}(w)| dw < \infty,$$

then  $\Sigma a_n l_n^{-\sigma}$  is summable  $|R, l, k|$ .

This follows from a result\* given by Austin.

4. Proof of the theorem. Suppose first that  $k = 0$ . Then

$$a_n = A(l_n) - A(l_n - 0) = O(l_n^p) + O(l_n^p) = O(l_n^p).$$

Since  $\Sigma l_n^{-\sigma+p} < \infty$  for  $\sigma > p + D$ , it follows that  $\Sigma a_n l_n^{-\sigma}$  is absolutely convergent for such  $\sigma$ .

Suppose next that  $k > 0$ ,  $\sigma > p + D$  and that  $s+1, q$  are positive integers such that  $s < k \leq s+1$ ,  $1 - \sigma < q$ . Let  $\delta = k - s$  and  $b_n = a_n l_n^q$ .

Note that

$$\Sigma l_n^{-\sigma+p} < \infty, \quad (3)$$

and that, by Lemma 4, it is sufficient to prove that

$$\sum_{m=0}^\infty \int_{l_m}^{l_{m+1}} w^{-\sigma-k-q} |B_{k-1}(w)| dw < \infty.$$

Since  $B(u)$  is constant for  $l_m \leq u < l_{m+1}$ , we have, for  $l_m < w < l_{m+1}$ ,

$$\begin{aligned} \Gamma(k) B_{k-1}(w) &= \int_1^w (w-u)^{k-1} dB(u) \\ &= (w-l_m)^{k-1} B(l_m) + (k-1) \int_1^{l_m} (w-u)^{k-2} B(u) du. \end{aligned}$$

Integrating  $s$  times by parts we get, for  $l_m < w < l_{m+1}$ ,

$$B_{k-1}(w) = \sum_{\mu=0}^s c_\mu (w-l_m)^{k-1-\mu} B_\mu(l_m) + c_{s+1} \int_1^{l_m} (w-u)^{\delta-2} B_s(u) du, \quad (4)$$

where the  $c$ 's are constants, and  $c_{s+1} = 0$  if  $k$  is an integer.

\* Austin, *loc. cit.*, Lemma 2.

Now, by Lemmas 2 and 3, since  $k+p > -1$  and  $k+p+q > 0$ ,

$$B_\mu(w) = O\left\{w^\mu l_m^{p+q} \left(\frac{l_{m+1}}{l_{m+1}-l_m}\right)^{k-\mu}\right\}$$

for  $\mu = 0, 1, \dots, s$  and  $l_m < w < l_{m+1}$ .

Hence, for  $\mu = 0, 1, \dots, s$ ,

$$\begin{aligned} & \int_{l_m}^{l_{m+1}} w^{-\sigma-k-q} (w-l_m)^{k-1-\mu} |B_\mu(l_m)| dw \\ &= O\left\{l_m^{-\sigma-q+1} l_m^{p+q-1} \left(\frac{l_{m+1}}{l_{m+1}-l_m}\right)^{k-\mu} \int_{l_m}^{l_{m+1}} \left(1-\frac{l_m}{w}\right)^{k-1-\mu} \frac{l_m}{w^2} dw\right\} \\ &= O(l_m^{-\sigma+p}). \end{aligned} \tag{5}$$

If  $k$  is an integer, then, since  $c_{s+1} = 0$ , the result follows from (3), (4) and (5).

Suppose finally that  $k$  is not an integer\*. Then  $0 < \delta < 1$  and

$$\begin{aligned} & \sum_{m=0}^{\infty} \int_{l_m}^{l_{m+1}} w^{-\sigma-k-q} dw \int_1^{l_m} (w-u)^{\delta-2} |B_s(u)| du \\ &= \sum_{m=1}^{\infty} \sum_{n=0}^{m-1} \int_{l_n}^{l_{m+1}} w^{-\sigma-k-q} dw \int_{l_n}^{l_{m+1}} (w-u)^{\delta-2} |B_s(u)| du \\ &= \sum_{n=0}^{\infty} \sum_{m=n+1}^{\infty} \int_{l_n}^{l_{m+1}} w^{-\sigma-k-q} dw \int_{l_n}^{l_{m+1}} (w-u)^{\delta-2} |B_s(u)| du \\ &= \sum_{n=0}^{\infty} \int_{l_{n+1}}^{\infty} w^{-\sigma-k-q} dw \int_{l_n}^{l_{n+1}} (w-u)^{\delta-2} |B_s(u)| du \\ &= \sum_{n=0}^{\infty} I_n, \end{aligned} \tag{6}$$

where

$$\begin{aligned} I_n &= O\left\{l_{n+1}^{-\sigma-k-q} \int_{l_n}^{l_{n+1}} |B_s(u)| du \int_{l_{n+1}}^{\infty} (w-u)^{\delta-2} dw\right\} \\ &= O\left\{l_{n+1}^{-\sigma-k-q} \int_{l_n}^{l_{n+1}} (l_{n+1}-u)^{\delta-1} |B_s(u)| du\right\} \\ &= O\left\{l_{n+1}^{-\sigma-k-q} l_n^{p+q} \left(\frac{l_{n+1}}{l_{n+1}-l_n}\right)^\delta \int_{l_n}^{l_{n+1}} (l_{n+1}-u)^{\delta-1} u^s du\right\} \\ &= O(l_{n+1}^{-\sigma-k-q} l_n^{p+q} l_{n+1}^k) = O(l_n^{-\sigma+p}). \end{aligned} \tag{7}$$

The required result now follows from (3), (4), (5), (6) and (7), and the proof of the theorem is thus completed.

The University,  
St. Andrews.

\* I am indebted to Dr. L. S. Bosanquet for simplifying this part of the proof.